

# PROPERTIES TRANSFER BETWEEN TOPOLOGIES ON FUNCTION SPACES, HYPERSPACES AND UNDERLYING SPACES

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ABSTRACT. Each collection  $\alpha$  of families of subsets of  $X$  determines a topology  $\alpha(X, Z)$  on the space of continuous maps  $C(X, Z)$ . Interrelations between local properties of  $\alpha(X, \mathbb{R})$  and of  $\alpha(X, \$)$  (on the hyperspace  $C(X, \$)$ ), and with properties of a topological space  $X$  are studied in a general framework, which allows to treat simultaneously several classical constructions, like pointwise convergence, compact-open topology and the Isbell topology.

## 1. INTRODUCTION

The interrelation of properties of  $C_\alpha(X, Z)$  with those of  $X$  and  $Z$ , is a fascinating theme. Here  $\alpha$  is a collection of (openly isotone<sup>1</sup>) families of subsets of  $X$ , that defines a topology  $\alpha(X, Z)$  on  $C(X, Z)$  by a subbase

$$(1.1) \quad \{[\mathcal{A}, O] : \mathcal{A} \in \alpha, O \in \mathcal{O}_Z\},$$

where  $[\mathcal{A}, O] := \{f : f^-(O) \in \mathcal{A}\}$ ,  $f^-(O) := \{x : f(x) \in O\}$ , and  $\mathcal{O}_Z$  is the set of open subsets of  $Z$ .

Its very special case, that of  $C_p(X, \mathbb{R})$  (the space of real-valued functions with pointwise convergence) has attracted a lot of researchers, among whom A. V. Arhangel'skii (e.g., [1]). Its intermediate case of

$$\alpha = \alpha_{\mathcal{D}} := \{\mathcal{O}_X(D) : D \in \mathcal{D}\},$$

where  $\mathcal{D}$  is a family of subsets of  $X$ , is the object of a book of McCoy and Ntantu [15].

Actually the said interrelation corresponds to the upper side of a quadrilateral

$$\begin{array}{ccc} X & \leftrightarrow & C_\alpha(X, \mathbb{R}) \\ \updownarrow & & \updownarrow \\ C_\alpha(X, \$^*) & \leftrightarrow & C_\alpha(X, \$) \end{array}$$

in which, of course, one can consider also other sides, as well as diagonals. Here  $\$, \$^*$  stand for the two homeomorphic variants of the Sierpiński topology on  $\{0, 1\}$ , so that  $C(X, \$)$  can be identified with the hyperspace of  $X$ , and  $C(X, \$^*)$  with the set of open subsets of  $X$ .

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<sup>1</sup>A family  $\mathcal{A}$  of open sets is *openly isotone* if  $B \in \mathcal{A}$  provided that  $B$  is open and there is an element  $A \in \mathcal{A}$  such that  $A \subset B$ .

It turns out that it is fruitful to study the three other sides in order to better grasp the interrelation of the upper side  $X \leftrightarrow C_\alpha(X, \mathbb{R})$ . Indeed,

- (1)  $C_\alpha(X, \$)$  is homeomorphic to  $C_\alpha(X, \$^*)$ ;
- (2) One can establish a dictionary of easy translations of elementary properties of  $C_\alpha(X, \$^*)$  and  $\alpha$ -properties of  $X$ ;
- (3) Under a separation condition (by real functions) one can evidence an intimate relationship between  $C_\alpha(X, \mathbb{R})$  and  $C_\alpha(X, \$)$ .

More precisely, if  $X$  is completely regular and  $\alpha$  is a compact web, then  $C_\alpha(X, \mathbb{R})$  is a Hausdorff *topological vector space*, hence the topology  $\alpha(X, \mathbb{R})$  is homogeneous. Roughly speaking <sup>2</sup>, a *web*  $\alpha$  on  $X$  is a collection of families of open subsets of  $X$  such that for each open subset  $Y$  there is  $\mathcal{A} \in \alpha$  that can be reconstructed from its trace on  $Y$ . A web is *compact* if its every element  $\mathcal{A}$  is a *compact family* <sup>3</sup>.

Therefore, if  $X$  is completely regular and  $\alpha$  is a compact web, then to characterize a local property of  $C_\alpha(X, \mathbb{R})$ , it is enough to study the neighborhood filter of the function 0. As we shall see, in this case, the neighborhood filter of the function 0 in  $C_\alpha(X, \mathbb{R})$  and the neighborhood filter of the empty set  $\emptyset$  in the hyperspace  $C_\alpha(X, \$)$  belong to the same class.

Of course, in general, a hyperspace topology  $\alpha(X, \$)$  is not homogeneous. As  $\alpha(X, \$)$  and  $\alpha(X, \$^*)$  are homeomorphic (by complementation), a property of  $\mathcal{N}_{\alpha(X, \$)}(A)$  for  $A \in C(X, \$)$  is also a property of  $\mathcal{N}_{\alpha(X, \$^*)}(X \setminus A)$  and, as a rule, can be characterized in terms of the space  $X \setminus A$  with the induced topology. Therefore a local property of  $C_\alpha(X, \$)$  can be characterized by a hereditary (with respect to open subsets) property of  $X$ .

It follows from some more general facts (see [5]) that

$$(1.2) \quad f \in \lim_{\alpha(X, \mathbb{R})} \mathcal{F} \iff f^-(A) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(A)$$

for each closed subset  $A$  of  $\mathbb{R}$ , where  $f^-(A) := \{x : f(x) \in A\}$  and  $\mathcal{F}^-(A)$  is a filter generated by  $\{\{f^-(A) : f \in F\} : F \in \mathcal{F}\}$ . Consequently, each  $\alpha$ -topology on  $C(X, \mathbb{R})$  can be, in principle, characterized in terms of the corresponding  $\alpha$ -topology on the hyperspace  $C(X, \$)$ , actually on its subset consisting of functionally closed subsets of  $X$ . Therefore the transfer between  $C_\alpha(X, \mathbb{R})$  and  $C_\alpha(X, \$)$  requires, essentially, the complete regularity of  $X$ . By the way, it is why Georgiou, Iliadis and Papadopoulos studied properties of real-valued function spaces in terms of topologies on functionally open sets [7].

The present paper restricts its scope to topologies on function spaces (almost always real-valued) and to the corresponding hyperspace topologies. This is just one aspect of a general theory of convergence function spaces and hyperspace convergences that will be discussed in [5].

## 2. OPEN-SET TOPOLOGIES

We denote by  $\mathcal{O}_X$  the set of open subsets of  $X$ , by  $\mathcal{O}_X(x) := \{O \in \mathcal{O}_X : x \in O\}$ , and by  $\mathcal{O}_X(A) := \{O \in \mathcal{O}_X : A \subset O\}$ . If now  $\mathcal{A}$  is a family of subsets of  $X$ , then  $\mathcal{O}_X(\mathcal{A}) := \bigcup_{A \in \mathcal{A}} \mathcal{O}_X(A)$ . A family  $\mathcal{A}$  of subsets of  $X$  is *openly isotone* if  $\mathcal{O}_X(\mathcal{A}) = \mathcal{A}$ .

<sup>2</sup>A precise definition is given before Lemma 3.7

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If  $\alpha$  is a non-empty collection of openly isotone families of subsets of  $X$ , then (1.1) is a subbase of a topology on  $C(X, Z)$ , denoted by  $\alpha(X, Z)$ . The corresponding topological space is denoted by  $C_\alpha(X, Z)$ .

In particular, for a non-empty family  $\mathcal{D}$  of subsets of  $X$ , the collection  $\alpha := \alpha_{\mathcal{D}}$  is defined by

$$(2.1) \quad \alpha_{\mathcal{D}} := \{\mathcal{O}_X(D) : D \in \mathcal{D}\},$$

and the symbol  $C_{\alpha_{\mathcal{D}}}(X, Z)$  is abridged to  $C_{\mathcal{D}}(X, Z)$ . It is often required (e.g., [15]) that  $\mathcal{D}$  be a (closed) *network* on  $X$ , that is, a family of closed sets such that for each  $x \in X$  and  $O \in \mathcal{O}_X(x)$  there is  $D \in \mathcal{D}$  for which  $x \in D \subset O$ . However (1.1) is a topology subbase for each  $\alpha = \alpha_{\mathcal{D}}$  provided that  $\mathcal{D} \neq \emptyset$ .

If  $A \subset X$  and  $B \subset Z$  then  $[A, B] := \{f \in C(X, Z) : f(A) \subset B\}$ . Therefore,  $[\mathcal{O}_X(D), O] = [D, O]$  and thus

$$\{[A, O] : A \in \alpha_{\mathcal{D}}, O \in \mathcal{O}_Z\} = \{[D, O] : D \in \mathcal{D}, O \in \mathcal{O}_Z\}.$$

**Example 2.1.** If  $\mathcal{D} = X^{<\aleph_0}$ , then

$$\{[F, O] : F \in X^{<\aleph_0}, O \in \mathcal{O}_Z\}$$

is a base of the topological space  $C_p(X, Z)$  of pointwise convergence (here  $p$  abridges  $X^{<\aleph_0}$ ).

**Example 2.2.** If  $\mathcal{D} = \mathcal{K}_X$  (the family of compact subsets of  $X$ ), then

$$\{[K, O] : K \in \mathcal{K}_X, O \in \mathcal{O}_Z\}$$

is a base of the topological space  $C_k(X, Z)$  of compact-open topology (here  $k$  abridges  $\mathcal{K}_X$ ).

We consider two complementary topologies on, respectively, the hyperspace  $C(X, \$)$  and the set  $C(X, \$^*)$  of open subsets of  $X$ . Here  $\$$  and  $\$^*$  are two homeomorphic avatars of the *Sierpiński topology* on  $\{0, 1\}$ :

$$\$ := \{\emptyset, \{1\}, \{0, 1\}\} \text{ and } \$^* := \{\emptyset, \{0\}, \{0, 1\}\}.$$

The *indicator function*  $\psi_A$  of a subset  $A$  of  $X$  is defined by to be 0 on  $A$  and 1 out of  $A$ . If  $X$  is a topological space, then  $\psi_A \in C(X, \$)$  if and only if  $A$  is closed, and  $\psi_A \in C(X, \$^*) := \mathcal{O}_X$  if and only if  $A$  is open.

The *complementation*  $c : 2^X \rightarrow 2^X$  associates  $A^c := X \setminus A$  with  $A \subset X$ . In order to avoid ambiguity, we denote the image of  $\mathcal{A} \subset 2^X$  by the complementation by

$$\mathcal{A}_c := \{A^c : A \in \mathcal{A}\}.$$

The topology  $\alpha(X, \$^*)$  on the set  $C(X, \$^*)$  (of all open subsets of  $X$ ) has  $\alpha$  for a subbase, because, due to our convention, the subbase consists of  $\{[A, \{0\}] : A \in \alpha\}$ , and  $[A, \{0\}] = \{\psi_B \in C(X, \$^*) : \psi_B^-(0) \in A\}$  (by definition,  $\psi_B^-(0) = B$ ).

If  $\alpha$  is stable for finite intersections, then  $\alpha$  is a base of  $\alpha(X, \$^*)$ . Hence the *neighborhood filter*  $\mathcal{N}_{\alpha(X, \$^*)}(Y)$  of  $Y \in C(X, \$^*)$  is generated by

$$\{\mathcal{A} \in \alpha : Y \in \mathcal{A}\}.$$

In particular, for  $\alpha = \alpha_{\mathcal{D}}$  a subbase for open sets is of the form

$$\{\mathcal{O}_X(D) : D \in \mathcal{D}\},$$

and  $\alpha_{\mathcal{D}}$  is stable for finite intersections provided that  $\mathcal{D}$  is stable for finite unions, so that

$$\mathcal{N}_{\alpha_{\mathcal{D}}(X, \$^*)}(Y) \approx \{\mathcal{O}_X(D) : Y \supset D \in \mathcal{D}\}.$$

The homeomorphic image of  $\alpha(X, \$^*)$  by the complementation is a topology on the hyperspace  $C(X, \$)$  denoted by  $\alpha(X, \$)$ . Accordingly,  $\{\mathcal{A}_c : \mathcal{A} \in \alpha\}$  is a subbase of  $\alpha(X, \$)$ -open sets on the hyperspace  $C(X, \$)$ ; the neighborhood of  $H \in C(X, \$)$  with respect to  $\alpha(X, \$)$  is

$$\mathcal{N}_{\alpha(X, \$)}(H) \approx \{\mathcal{A}_c : H^c \in \mathcal{A} \in \alpha\}.$$

In particular, a base of  $\mathcal{N}_{\alpha_{\mathcal{D}}(X, \$)}(A_0)$  consists of

$$\{A \in C(X, \$) : A \cap D = \emptyset\} : D \in \mathcal{D}, A_0 \cap D = \emptyset\}$$

This form of basic neighborhoods is at the origin of the term  *$\mathcal{D}$ -miss topology*.

**Remark 2.3.** Gruenhage introduced the so-called  $\gamma$ -connection [10]. In particular, a filter  $\Gamma(Y, X)$ , where  $Y$  is an open subset of  $X$ , is defined in a way equivalent to

$$\Gamma(Y, X) := \{\mathcal{O}_X(F) : Y \supset F \in X^{<\aleph_0}\},$$

hence  $\Gamma(Y, X)$  is a neighborhood base of  $Y$  with respect to  $\alpha_{X^{<\aleph_0}} := \{\mathcal{O}_X(F) : F \in X^{<\aleph_0}\}$ .

### 3. COMPACT FAMILIES

An openly isotone family  $\mathcal{A}$  is *compact* if each family  $\mathcal{P}$  of open sets such that  $\bigcup \mathcal{P} \in \mathcal{A}$  has a finite subfamily  $\mathcal{P}_0$  of  $\mathcal{P}$  such that  $\bigcup \mathcal{P}_0 \in \mathcal{A}$ . We denote by  $\kappa(X)$  the collection of all compact families on  $X$ . Here are fundamental examples:

$$\begin{aligned} K \text{ compact} &\Rightarrow \mathcal{O}_X(K) \in \kappa(X); \\ x \in \lim_X \mathcal{F} &\Rightarrow \mathcal{O}_X(\mathcal{F} \wedge \{x\}) \in \kappa(X), \end{aligned}$$

where  $\mathcal{F} \wedge \{x\} := \{F \cup \{x\} : F \in \mathcal{F}\}$ .

The collection of (openly isotone) compact families fulfill the following properties:

$$\begin{aligned} \emptyset, \{\mathcal{O}_X\} &\in \kappa(X); \\ \alpha \subset \kappa(X) &\Rightarrow \bigcup_{\mathcal{A} \in \alpha} \mathcal{A} \in \kappa(X); \\ \mathcal{A}_0, \mathcal{A}_1 \in \kappa(X) &\Rightarrow \mathcal{A}_0 \cap \mathcal{A}_1 \in \kappa(X). \end{aligned}$$

Therefore (see [9],[2])

**Corollary 3.1.**  $\kappa(X)$  is the collection of open sets of a topology on  $\mathcal{O}_X = C(X, \$^*)$ .

**Example 3.2.** If  $\kappa = \kappa(X)$  is the collection of (openly isotone) compact families on  $X$ , then

$$\{[\mathcal{A}, O] : \mathcal{A} \in \kappa(X), O \in \mathcal{O}_Z\}$$

is a subbase of the Isbell topology on  $C(X, Z)$ . in particular,  $\kappa(X)$  is the collection of open sets of  $C_\kappa(X, \$^*)$ .

**Lemma 3.3.** If  $\mathcal{A} = \mathcal{O}(\mathcal{A})$  is a compact family of subsets of a completely regular topological space  $X$ , and  $F$  is a closed subset of  $X$  with  $F^c \in \mathcal{A}$ , then there is  $A \in \mathcal{A}$  and  $h \in C(X, [0, 1])$  such that  $h(A) = \{0\}$  and  $h(F) = \{1\}$ .

*Proof.* By complete regularity, for every  $x \notin F$ , there is an open neighborhood  $O_x$  of  $x$  and  $f_x \in C(X, [0, 1])$  such that  $f_x(O_x) = \{0\}$  and  $f_x(F) = \{1\}$ . Therefore  $F^c = \bigcup_{x \notin F} O_x \in \mathcal{A}$ , so that by the compactness of  $\mathcal{A}$  there is  $n < \omega$  and  $x_1, \dots, x_n \notin F$  such that  $A = \bigcup_{1 \leq i \leq n} O_{x_i} \in \mathcal{A}$ . The continuous function  $\min_{1 \leq i \leq n} f_{x_i}$  is 0 on  $A$  and 1 on  $F$ .  $\square$

**Lemma 3.4.** *If  $\mathcal{A}$  is a compact openly isotone family on  $X$  and  $C$  is a closed subset of  $X$ , then  $\mathcal{O}(\{A \cap C : A \in \mathcal{A}\})$  is compact.*

*Proof.* Indeed, if  $\mathcal{P}$  is a family of open sets such that  $\bigcup \mathcal{P} \in \mathcal{O}(\{A \cap C : A \in \mathcal{A}\})$ , then  $\bigcup \mathcal{P} \cup (X \setminus C) \in \mathcal{A}$ , hence there exists a finite subfamily  $\mathcal{P}_0$  of  $\mathcal{P}$  such that  $\bigcup \mathcal{P}_0 \cup (X \setminus C) \in \mathcal{A}$ , thus  $\bigcup \mathcal{P}_0 \in \mathcal{O}(\{A \cap C : A \in \mathcal{A}\})$ .<sup>4</sup>  $\square$

The concept of *network* is well-known. Here we introduce a notion of web that extends and weakens that of network. A collection  $\alpha$  of openly isotone families is a *web* in  $X$  if for every  $x \in X$  and each  $O \in \mathcal{O}_X(x)$  there is  $\mathcal{A} \in \alpha$  such that  $\mathcal{A}$  is generated by a filter on  $O$ . In particular,  $\alpha_{\mathcal{D}}$  (2.1) is a web if for each  $x \in X$  and every  $O \in \mathcal{O}_X(x)$  there is  $D \in \mathcal{D}$  such that  $D \subset O$ . This is a weaker property than that of  $\mathcal{D}$  being a network. A collection of openly isotone families is called a *compact web* if it is a web consisting of compact families.

**Proposition 3.5.** *If  $\mathcal{D}$  is a compact network, then  $\alpha_{\mathcal{D}}$  is a compact web.*

Indeed, in this case,  $\alpha_{\mathcal{D}}$  is a collection of compact families. It is a web, because it includes  $\{\mathcal{O}_X(\{x\}) : x \in X\}$ . For instance,  $\{\mathcal{O}_X(F) : F \in X^{<\aleph_0}\}$  and  $\{\mathcal{O}_X(K) : K \in \mathcal{K}(X)\}$  are compact webs. Therefore,

**Corollary 3.6.**  *$\kappa(X)$  is a compact web on  $X$ .*

In fact,  $\kappa(X)$  is a web, because it includes a web, for example,  $\{\mathcal{O}_X(K) : K \in \mathcal{K}(X)\}$ . The following result extends [15, Theorem 1.1.5].

**Lemma 3.7.** *If  $Z$  is Hausdorff and  $\alpha$  is a web, then  $C_\alpha(X, Z)$  is Hausdorff.*

*Proof.* If  $f_0 \neq f_1$  then there is  $x \in X$  such that  $f_0(x) \neq f_1(x)$ , and because  $Z$  is Hausdorff, there exist disjoint open sets  $O_0$  and  $O_1$  such that  $f_0(x) \in O_0$  and  $f_1(x) \in O_1$ . Therefore  $W := f_0^{-1}(O_0) \cap f_1^{-1}(O_1) \in \mathcal{O}_X(x)$ , and since  $\alpha$  is a web, there exists  $\mathcal{A} \in \alpha$  such that  $\mathcal{A}$  is generated by a filter on  $W$ . Therefore  $f_0 \in [\mathcal{A}, O_0]$ ,  $f_1 \in [\mathcal{A}, O_1]$  and  $[\mathcal{A}, O_1] \cap [\mathcal{A}, O_0]$  is empty, for if  $f \in [\mathcal{A}, O_1] \cap [\mathcal{A}, O_0]$  then there exist  $W \supset A_0, A_1 \in \mathcal{A}$  such that  $A_0 \subset f^{-1}(O_0)$ ,  $A_1 \subset f^{-1}(O_1)$  and  $A := A_0 \cap A_1 \in \mathcal{A}$ , hence  $f(A) \subset O_0 \cap O_1 = \emptyset$ .  $\square$

**Lemma 3.8.** *If  $X$  is completely regular,  $\alpha$  is a compact web, and  $Z$  is a (real) topological vector space, then  $C_\alpha(X, Z)$  is a Hausdorff topological vector space.*

*Proof.* Let  $O$  be open,  $\mathcal{A} \in \alpha$  and  $f - g \in [\mathcal{A}, O]$ , that is, there is  $A \in \mathcal{A}$  such that  $f - g \in [A, O]$ . By the assumptions on  $Z$ , for each  $x \in A$ , there exist open sets  $P_x$  and  $Q_x$  such that  $f(x) \in P_x$ ,  $g(x) \in Q_x$  and  $P_x - Q_x \subset O$ . Because  $f$  and  $g$  are continuous, there exist an open neighborhood  $V_x$  and a closed neighborhood  $W_x$  of  $x$  such that  $W_x \subset V_x \subset A$ ,  $f \in [V_x, P_x] \subset [W_x, P_x]$  and  $g \in [V_x, Q_x] \subset [W_x, Q_x]$ . As  $\bigcup_{x \in A} V_x \in \mathcal{A}$ , by the compactness of  $\mathcal{A}$ , there is a finite subset  $F$  of  $A$  such that  $\bigcup_{x \in F} V_x \in \mathcal{A}$ . On the other hand,  $\mathcal{A}_x := \mathcal{O}(\mathcal{A} \vee W_x)$  is compact,  $f \in [\mathcal{A} \vee W_x, P_x]$  and  $g \in [\mathcal{A} \vee W_x, Q_x]$  for each  $x \in A$ , a fortiori for  $x \in F$ . Consequently,

$$f - g \in \bigcap_{x \in F} [\mathcal{A} \vee W_x, P_x] - \bigcap_{x \in F} [\mathcal{A} \vee W_x, Q_x] \subset [\mathcal{A}, O].$$

<sup>4</sup>More generally, this holds for arbitrary compact (isotone) families in convergence spaces: denote by  $\mathcal{A} \vee C$  the isotone family generated by  $\{A \cap C : A \in \mathcal{A}\}$ . If  $C$  is closed and  $\mathcal{A}$  is compact, then  $\mathcal{F} \# (\mathcal{A} \vee C)$  implies that the filter  $\mathcal{F} \vee F$  meshes with  $\mathcal{A}$ , hence  $\text{adh}(\mathcal{F} \vee F) = \text{adh } \mathcal{F} \cap \text{adh } F = \text{adh } \mathcal{F} \cap F$  meshes with  $\mathcal{A}$ , equivalently  $\text{adh } \mathcal{F}$  meshes  $\mathcal{A} \vee C$ .

If now  $O$  is open,  $\mathcal{A} \in \alpha$  and  $\lambda f \in [\mathcal{A}, O]$  for a scalar  $\lambda$ , then there is  $A \in \mathcal{A}$  such that for each  $x \in A$  there exist an open subsets  $P_x$  and  $I_x$  of  $Z$  such that  $\lambda f \in I_x P_x \subset O$ . By continuity, there exist an open neighborhood  $V_x$  and a closed neighborhood  $W_x$  of  $x$  such that  $W_x \subset V_x \subset A$ ,  $f \in [V_x, P_x] \subset [W_x, P_x]$ . As  $\bigcup_{x \in A} V_x \in \mathcal{A}$ , by the compactness of  $\mathcal{A}$ , there is a finite subset  $F$  of  $A$  such that  $\bigcup_{x \in F} V_x \in \mathcal{A}$ . On the other hand,  $\mathcal{A}_x := \mathcal{O}(\mathcal{A} \vee W_x)$  is compact and  $f \in [\mathcal{A} \vee W_x, P_x]$  for each  $x \in A$ , a fortiori for  $x \in F$ . Therefore

$$\lambda f \in \bigcap_{x \in F} I_x \cap \bigcap_{x \in F} [\mathcal{A} \vee W_x, P_x].$$

□

It follows that if  $\alpha$  is a compact web, then  $C_\alpha(X, \mathbb{R})$  is a topological vector space.

#### 4. POLAR TOPOLOGIES

Recall that if  $\Omega \subset V \times W$ , then the  $\Omega$ -polar  $\Omega^*A$  of a subset  $A$  of  $V$  is the greatest subset  $B$  of  $W$  such that  $A \times B \subset \Omega$ . Dual topologies can be represented in terms of polarity.

The canonical coupling is the map that associates  $\langle x, f \rangle := f(x)$  with  $x \in X$  and  $f \in C(X, \mathbb{R})$ . For every open subset  $O$  of  $\mathbb{R}$  this map defines a relation  $\Omega_O := \{(x, f) : f(x) \in O\}$ . Accordingly, for each  $A \in C(X, \$^*)$ ,

$$(4.1) \quad \Omega_O^*A = \{f : A \subset f^{-}(O)\} = [A, O]$$

is the polar of  $A$  by  $\Omega_O^*$ . On the other hand,  $\Omega_O^*$  is a relation on  $C(X, \$^*) \times C(X, \mathbb{R})$ , so that if  $\mathcal{A}$  is a subset of  $C(X, \$^*)$ , then  $\Omega_O^*\mathcal{A} = [A, O]$ . Hence for a filter (base)  $\alpha$  on  $C(X, \$^*)$ ,

$$\Omega_O^*\alpha \approx \{[A, O] : A \in \alpha\}.$$

Finally

$$\mathcal{N}_{\alpha(X, \mathbb{R})}(0) \approx \bigvee_{O \in \mathcal{N}_{\mathbb{R}}(0)} \Omega_O^*\alpha \approx \{[A, O] : A \in \alpha, O \in \mathcal{N}_{\mathbb{R}}(0)\}.$$

Because of homogeneity, it is enough to establish a property of  $\mathcal{N}_{\alpha(X, \mathbb{R})}(0)$  in order to prove that property for every neighborhood filter of  $C_\alpha(X, \mathbb{R})$  (for a compact web  $\alpha$  on a completely regular space  $X$ ).

On the other hand, it follows from (1.2) that  $f \in \lim_{\alpha(X, \mathbb{R})} \mathcal{F}$  implies, in particular,  $0^-(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(C)$  for each closed subset  $C$  of  $\mathbb{R}$ . If  $0 \in C$  then  $0^-(C) = X$ , hence  $0^-(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(C)$  for every  $\mathcal{F}$ . Hence the only case to consider is that of  $0 \notin C$  that is equivalent to  $0^-(C) = \emptyset$ .

This observation implies that properties of  $\mathcal{N}_{\alpha(X, \$)}(\emptyset)$  are intimately related to properties of  $\mathcal{N}_{\alpha(X, \mathbb{R})}(0)$ , hence to local properties of  $C_\alpha(X, \mathbb{R})$ , thanks to homogeneity (for a compact web  $\alpha$  on a completely regular space  $X$ ). As  $\alpha(X, \$)$  and  $\alpha(X, \$^*)$  are homeomorphic by complementation, the properties of  $\mathcal{N}_{\alpha(X, \$)}(\emptyset)$  and  $\mathcal{N}_{\alpha(X, \$^*)}(X)$  are the same. On the other hand,  $\mathcal{N}_{\alpha(X, \$^*)}(X)$  is generated by  $\alpha$ .

If  $\Gamma \subset X_1 \times \dots \times X_m$  is a relation, then for  $1 \leq k \leq m$ , let  $\Gamma_k : \Gamma \rightarrow X_k$  be the restriction to  $\Gamma$  of the  $k$ -th projection. Consider the *fundamental relation*  $\Gamma \subset C(X, \mathbb{R}) \times C(X, \$) \times C(\mathbb{R}, \$)$  defined by

$$\Gamma := \{(f, A, O) : f \in [A, O]\}.$$

The last component of  $\Gamma$  is valued in (open) subsets of  $\mathbb{R}$ , and not in  $\mathbb{R}$ , because  $\Gamma$  is results from a polarity. Therefore, we need to define a filter on  $\mathcal{O}_{\mathbb{R}}(0)$  such

that its projection on  $\mathbb{R}$  coincides with  $\mathcal{N}_{\mathbb{R}}(0)$ . A base for such filter (denoted by  $\bar{\mathcal{N}}_{\mathbb{R}}(0)$ ) is given by  $\{P \in \mathcal{O}_{\mathbb{R}}(0) : P \subset O\}$  with  $O \in \mathcal{O}_{\mathbb{R}}(0)$ .

**Theorem 4.1.**  $\mathcal{N}_{\alpha(X, \mathbb{R})}(0) = \Gamma_1(\Gamma_2^- \alpha \vee \Gamma_3^- \bar{\mathcal{N}}_{\mathbb{R}}(0))$ .

*Proof.* By definition,  $\Gamma_2^- \mathcal{A} = \{(f, A, O) : f \in [A, O], A \in \mathcal{A}\}$ , and  $\Gamma_3^- O = \{(f, A, O) : f \in [A, O]\}$ , hence  $\Gamma_1(\Gamma_2^- \mathcal{A} \vee \Gamma_3^- O) = [A, O]$ , so that  $\mathcal{N}_{\alpha(X, \mathbb{R})}(0) = \Gamma_1(\Gamma_2^- \alpha \vee \Gamma_3^- \bar{\mathcal{N}}_{\mathbb{R}}(0))$ .  $\square$

Let  $\Delta$  be the following subset of  $C(X, \mathbb{S}_*) \times C(X, \mathbb{R})$ :

$$\Delta := \{(A, f) : f(A) \subset B(0, 1)\}.$$

In other words,  $\Delta := \Gamma_3^- B(0, 1)$ . Call its projections  $\Delta_1$  and  $\Delta_2$ .

**Theorem 4.2.** *If  $\alpha$  is a compact web, and  $X$  is completely regular, then  $\alpha = \Delta_1(\Delta_2^- \mathcal{N}_{\alpha(X, \mathbb{R})}(0))$ .*

*Proof.* If  $\mathcal{A}$  is a compact family and  $A \in \mathcal{A}$ , then by Lemma 3.3, there exist  $H \in \mathcal{A}$  and  $f_A \in C(X, [0, 1])$  such that  $f_A(X \setminus A) = \{1\}$  and  $f_A(H) = \{0\}$ , consequently  $f_A \in [A, B(0, 1)] \subset [A, B(0, 1)]$ . This shows that  $\mathcal{A} \subset \Delta_1 \Delta_2^- [A, B(0, 1)]$ . Conversely, if  $A \in \Delta_1 \Delta_2^- [A, B(0, \frac{1}{n})]$ , then  $f \in [A, B(0, 1)] \subset [A, B(0, 1)]$  for every  $f \in [A, B(0, \frac{1}{n})]$ , and thus  $\Delta_1 \Delta_2^- [A, B(0, \frac{1}{n})] \subset \mathcal{A}$ , for each natural  $n > 0$ .  $\square$

## 5. TRANSFER OF PROPERTIES

Let  $\mathbb{B}$  be a class of filters. A topology is  $\mathbb{B}$ -based if and only if each neighborhood filter is in  $\mathbb{B}$ . For each class  $\mathbb{B}$ , the  $\mathbb{B}$ -based topologies form a concretely coreflective subcategory. Several concretely coreflective subcategories of topologies can be represented in terms of  $\mathbb{B}$ -based topologies for some specific classes  $\mathbb{B}$  of filters, for example, character, tightness, sequentiality, Fréchetness, strong Fréchetness, productive Fréchetness, bisequentiality, and others (see, e.g., [3]).

Theorems 4.1 and 4.2 enable us transfer some coreflective properties from  $C_{\alpha}(X, \mathbb{R})$  to  $C_{\alpha}(X, \mathbb{S})$  and vice versa.

If  $H \subset X \times Y$ , then  $Hx := \{y \in Y : (x, y) \in H\}$ , and if  $H \subset X$  then  $HA := \bigcup_{x \in A} Hx$ . If now  $\mathcal{F}$  and  $\mathcal{H}$  are families of subsets of  $X$  and  $Y$  respectively, then

$$\mathcal{H}\mathcal{F} := \{HF : F \in \mathcal{F}, H \in \mathcal{H}\}.$$

If  $\mathcal{F}$  and  $\mathcal{H}$  are filters, then, by a handy abuse of notation,  $\mathcal{H}\mathcal{F}$  stands also for the filter it generates.

Recall that  $\mathbb{F}_{\lambda}$  denotes the class of filters admitting a filter base of cardinality  $< \aleph_{\lambda}$ . In particular,  $\mathbb{F}_0$  is the class of *principal* filters, and  $\mathbb{F}_1$  is the class of *countably based* filters. The class of all filters is denoted by  $\mathbb{F}$ .

A class  $\mathbb{B}$  of filters is  $\mathbb{F}_{\lambda}$ -composable if  $\mathcal{H}\mathcal{F} \in \mathbb{B}$  for each  $\mathcal{F} \in \mathbb{B}$  and every  $\mathcal{H} \in \mathbb{F}_{\lambda}$  (see [6],[11],[14]). A class  $\mathbb{B}$  of filters is  $\mathbb{F}_{\lambda}$ -steady if  $\mathcal{H} \vee \mathcal{F} \in \mathbb{B}$  for each  $\mathcal{F} \in \mathbb{B}$  and each  $\mathcal{H} \in \mathbb{F}_{\lambda}$  (see [11],[14]).

If  $\mathbb{H}$  is a class of filters and  $\gamma$  is a filter subbase, then  $\gamma \in \mathbb{H}$  means that the filter generated by  $\gamma$  belongs to  $\mathbb{H}$ .

By Theorem 4.1,

**Proposition 5.1.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady. If  $X$  is regular,  $\alpha$  is a compact web, and  $\alpha \in \mathbb{B}$ , then  $C_{\alpha}(X, \mathbb{R})$  is  $\mathbb{B}$ -based.*

*Proof.* If  $\alpha \in \mathbb{B}$  then  $\Gamma_2^- \alpha \in \mathbb{B}$ , because  $\mathbb{B}$  is  $\mathbb{F}_0$ -composable. On the other hand,  $\Gamma_3^- \mathcal{N}_{\mathbb{R}}(0)$  is a countably based filter, because  $\mathcal{N}_{\mathbb{R}}(0)$  is countably based. Therefore,  $\Gamma_2^- \alpha \vee \Gamma_3^- \mathcal{N}_{\mathbb{R}}(0) \in \mathbb{B}$ , because  $\mathbb{B}$  is  $\mathbb{F}_1$ -steady. Finally,  $\mathcal{N}_{\alpha(X, \mathbb{R})}(0) \in \mathbb{B}$  as the image by a map of a filter from  $\mathbb{B}$ . Therefore  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based because  $C_\alpha(X, \mathbb{R})$  is homogeneous by Lemma 3.8.  $\square$

**Proposition 5.2.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable. If  $\alpha$  is a compact web,  $X$  is completely regular, and  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based, then  $\alpha \in \mathbb{B}$ .*

*Proof.* If  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based,  $\mathcal{N}_{\alpha(X, \mathbb{R})}(0) \in \mathbb{B}$  hence by Theorem 4.2,  $\alpha \in \mathbb{B}$ , because  $\mathbb{B}$  is  $\mathbb{F}_0$ -composable.  $\square$

**Theorem 5.3.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady, and let  $\alpha$  be a compact web on a completely regular space  $X$ . Then  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based if and only if  $\alpha \in \mathbb{B}$ .*

F. Jordan established in [11, Theorem 3] a special case of Theorem 5.3 for  $\alpha = \{\mathcal{O}(D) : D \in X^{<\aleph_0}\}$ , hence concerning  $C_p(X, \mathbb{R})$ , in terms of  $\gamma$ -connection (see Remark 2.3). It is enough to replace in his proofs  $X^{<\aleph_0}$  by any (additively stable) family  $\mathcal{D}$  of compact sets, in order that the proofs remain valid for  $\alpha = \{\mathcal{O}(D) : D \in X^{<\aleph_0}\}$  and  $C_{\mathcal{D}}(X, \mathbb{R})$ .

Since  $\alpha$  is a filter subbase of  $\mathcal{N}_{\alpha(X, \$^*)}(X)$ , and  $\alpha(X, \$^*)$  is homeomorphic to  $\alpha(X, \$)$  by complementation, we have

**Corollary 5.4.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady, and let  $\alpha$  be a compact web on a completely regular space  $X$ . Then  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based if and only if  $\mathcal{N}_{\alpha(X, \$)}(\emptyset) \in \mathbb{B}$ .*

## 6. TRANSFERABLE PROPERTIES

We shall discuss several  $\mathbb{F}_0$ -composable  $\mathbb{F}_1$ -steady classes of filters, in other words, of transferable local properties. Several results on composability and steadiness can be found in [11],[14].

We say that a property of topological spaces is *local* if there is a class  $\mathbb{P}$  of filters<sup>5</sup> such that a topology has the property whenever each neighborhood filter belongs to  $\mathbb{P}$ . Character and tightness are local properties.

The *character*  $\chi(\mathcal{F})$  of a filter  $\mathcal{F}$  is the least cardinal  $\tau$  such that  $\mathcal{F}$  has a base of cardinality  $\leq \tau$ . The *tightness*  $t(\mathcal{F})$  of a filter  $\mathcal{F}$  is the least cardinal  $\tau$  for which if  $A \in \mathcal{F}^\#$  then there is  $B \subset A$  with  $\text{card } B \leq \tau$  such that  $B \in \mathcal{F}^\#$ .

**Proposition 6.1.** *(Infinite) character and tightness are  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady.*

*Proof.* If  $\mathcal{B}$  is a filter base of a filter  $\mathcal{F}$  on  $X$  and  $A \subset X \times Y$ , then  $\{AB : B \in \mathcal{B}\}$  is a base of  $A\mathcal{F}$ . Indeed, if  $H \in A\mathcal{F}$  then there exists  $F \in \mathcal{F}$  such that  $AF \subset H$ , hence there is  $B \in \mathcal{B}$  with  $B \subset F$ , so that  $AB \subset AF$ . Therefore  $\chi(A\mathcal{F}) \leq \chi(\mathcal{F})$ , because  $\text{card}\{AB : B \in \mathcal{B}\} \leq \text{card } \mathcal{B}$ .

If  $\mathcal{B}$  is a base of  $\mathcal{F}$ , and  $\mathcal{D}$  is a base of  $\mathcal{E}$ , then  $\{B \cap D : B \in \mathcal{B}, D \in \mathcal{D}\}$  is a base of  $\mathcal{F} \vee \mathcal{E}$ . As  $\text{card}\{B \cap D : B \in \mathcal{B}, D \in \mathcal{D}\} \leq \text{card } \mathcal{B} \times \text{card } \mathcal{D}$ . Therefore if  $\chi(\mathcal{F})$  is infinite and  $\chi(\mathcal{E}) \leq \aleph_0$  then  $\chi(\mathcal{F} \vee \mathcal{E}) = \chi(\mathcal{F})$ .

The tightness is  $\mathbb{F}_0$ -composable, because  $H \# A\mathcal{F}$  if and only if  $A^- H \in \mathcal{F}$ . The (infinite) tightness is  $\mathbb{F}_1$ -steady, for if  $\mathcal{E} \approx \{E_n : n < \omega\}$  and  $H \in (\mathcal{F} \vee \mathcal{E})^\#$  then

<sup>5</sup>possibly depending on topology.



$H \in (\mathcal{F} \vee E_n)^\#$  for each  $n < \omega$ . Hence there exists  $B_n \subset H$  with  $\text{card } B_n \leq \chi(\mathcal{F})$  and such that  $B_n \in (\mathcal{F} \vee E_n)^\#$ . Consequently  $\bigcup_{n < \omega} B_n \in (\mathcal{F} \vee \mathcal{E})^\#$  and  $\text{card}(\bigcup_{n < \omega} B_n) \leq \chi(\mathcal{F})$  if  $\chi(\mathcal{F}) \geq \aleph_0$ .  $\square$

A filter  $\mathcal{F}$  is  $\mathbb{G}$  to  $\mathbb{E}$  *refinable* [12] ( $\mathcal{F} \in (\mathbb{G}/\mathbb{E})_{\geq}$ ) if for each filter  $\mathcal{G} \in \mathbb{G}$  with  $\mathcal{G} \# \mathcal{F}$  there exists a filter  $\mathcal{E} \in \mathbb{E}$  such that  $\mathcal{E} \geq \mathcal{F} \vee \mathcal{G}$ ; a filter  $\mathcal{F}$  is  $\mathbb{G}$  to  $\mathbb{E}$  *me-refinable* [12] ( $\mathcal{F} \in (\mathbb{G}/\mathbb{E})_{\# \geq}$ ) if for each filter  $\mathcal{G} \in \mathbb{G}$  with  $\mathcal{G} \# \mathcal{F}$  there exists a filter  $\mathcal{E} \in \mathbb{E}$  such that  $\mathcal{E} \geq \mathcal{F}$  and  $\mathcal{E} \# \mathcal{G}$ .

**Lemma 6.2.** *The property  $(\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$  is  $\mathbb{F}_\mu$ -steady if  $\mu \leq \kappa$ .*

*Proof.* Let  $\mathcal{F} \in (\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$ ,  $\mathcal{E} \in \mathbb{F}_\kappa$  and  $\mathcal{D} \in \mathbb{F}_\mu$  be such that  $\mathcal{D} \# (\mathcal{E} \vee \mathcal{F})$ . Then  $(\mathcal{D} \vee \mathcal{E}) \# \mathcal{F}$  and  $\mathcal{D} \vee \mathcal{E} \in \mathbb{F}_\kappa$ , because  $\mu \leq \kappa$ ; thus there is  $\mathcal{G} \in \mathbb{F}_\lambda$  such that  $\mathcal{G} \geq \mathcal{D} \vee \mathcal{E} \vee \mathcal{F}$ .  $\square$

**Lemma 6.3.** *The property  $(\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$  is  $\mathbb{F}_\mu$ -composable if  $\mu \leq \kappa \wedge \lambda$ .*

*Proof.* If  $\mathcal{F} \in (\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$ ,  $\mathcal{E} \in \mathbb{F}_\kappa$  and  $\mathcal{M} \in \mathbb{F}_\mu$  be such that  $\mathcal{E} \# (\mathcal{M}\mathcal{F})$ . Then  $\mathcal{M}^- \mathcal{E} \# \mathcal{F}$  and  $\mathcal{M}^- \mathcal{E} \in \mathbb{F}_\kappa$  provided that  $\mu \leq \kappa$ . As  $\mathcal{F} \in (\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$  there is  $\mathcal{G} \in \mathbb{F}_\lambda$  such that  $\mathcal{G} \geq \mathcal{M}^- \mathcal{E} \vee \mathcal{F}$ . Thus  $\mathcal{M}\mathcal{G} \geq \mathcal{M}(\mathcal{M}^- \mathcal{E} \vee \mathcal{F}) \geq \mathcal{E} \vee \mathcal{M}\mathcal{F}$  and  $\mathcal{M}\mathcal{G} \in \mathbb{F}_\lambda$  provided that  $\mu \leq \lambda$ .  $\square$

Fréchetness, strong Fréchetness, productive Fréchetness and bisequentiality are other examples of local properties that can be expressed in terms of refinable and me-refinable filters with respect to various classes (see [13] and a pioneering paper [4]). A filter  $\mathcal{F}$  is

- (1) *Fréchet*  $\iff \mathcal{F} \in (\mathbb{F}_0/\mathbb{F}_1)_{\geq}$ : A filter  $\mathcal{F}$  is *Fréchet* if for each set  $A$  such that  $A \# \mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $A \in \mathcal{E} \geq \mathcal{F}$ .
- (2) *strongly Fréchet*  $\iff \mathcal{F} \in (\mathbb{F}_1/\mathbb{F}_1)_{\geq}$ : A filter  $\mathcal{F}$  is *strongly Fréchet* if for each countably filter  $\mathcal{G}$  such that  $\mathcal{G} \# \mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $\mathcal{E} \geq \mathcal{F} \vee \mathcal{G}$ .
- (3) *productively Fréchet*  $\iff \mathcal{F} \in \left( (\mathbb{F}_1/\mathbb{F}_1)_{\geq} / \mathbb{F}_1 \right)_{\geq}$ : A filter  $\mathcal{F}$  is *productively Fréchet* if for each Fréchet filter  $\mathcal{G}$  such that  $\mathcal{G} \# \mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $\mathcal{E} \geq \mathcal{F} \vee \mathcal{G}$ .
- (4) *bisequential*  $\iff \mathcal{F} \in (\mathbb{F}/\mathbb{F}_1)_{\# \geq}$ : A filter  $\mathcal{F}$  is *bisequential* if for each filter  $\mathcal{G}$  such that  $\mathcal{G} \# \mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $\mathcal{E} \geq \mathcal{F}$  and  $\mathcal{E} \# \mathcal{G}$ .

Of course, in the first three conditions (but not in the fourth) the existence of a countably based filter  $\mathcal{E}$  is equivalent to the existence of a *sequential filter*<sup>6</sup>  $\mathcal{E}$ . All these properties are  $\mathbb{F}_0$ -composable. Not all are  $\mathbb{F}_1$ -steady.

**Proposition 6.4.** *Classes of strongly Fréchet, productively Fréchet and bisequential filters are  $\mathbb{F}_1$ -steady; the class of Fréchet filters is not  $\mathbb{F}_1$ -steady. All the listed properties are  $\mathbb{F}_0$ -composable.*

*Proof.* All the cases are proved in [14] except for bisequential filters. Let  $\mathcal{F}$  be bisequential and  $\mathcal{E} \in \mathbb{F}_1$ . If  $\mathcal{D}$  is any filter such that  $\mathcal{D} \# (\mathcal{E} \vee \mathcal{F})$ , then  $(\mathcal{D} \vee \mathcal{E}) \# \mathcal{F}$ , hence there is  $\mathcal{G} \in \mathbb{F}_1$  such that  $\mathcal{G} \geq \mathcal{F}$  and  $\mathcal{G} \# (\mathcal{D} \vee \mathcal{E})$ . The filter  $\mathcal{G} \vee \mathcal{E} \in \mathbb{F}_1$  and  $\mathcal{G} \vee \mathcal{E}$  meshes  $\mathcal{D}$  and  $\mathcal{G} \vee \mathcal{E} \geq \mathcal{G} \geq \mathcal{F}$ . Let  $\mathcal{F}$  be bisequential and  $A$  a relation.

<sup>6</sup>A filter is *sequential* if it is generated by the queues of a sequence.

If  $\mathcal{D}$  is a filter such that  $\mathcal{D}\#A\mathcal{F}$ , then  $A^-\mathcal{D}\#\mathcal{F}$ , hence there is  $\mathcal{H} \in \mathbb{F}_1$  such that  $\mathcal{H}\#A^-\mathcal{D}$  and  $\mathcal{H} \geq \mathcal{F}$ . Thus  $A\mathcal{H}\#\mathcal{D}$  and  $A\mathcal{H} \geq A\mathcal{F}$ .

If  $\mathcal{F}$  is Fréchet but not strongly Fréchet, then there is  $\mathcal{E} \in \mathbb{F}_1$  such that  $\mathcal{G} \geq \mathcal{E} \vee \mathcal{F}$  for no  $\mathcal{G} \in \mathbb{F}_1$ . Hence  $\mathcal{E} \vee \mathcal{F}$  is not Fréchet.  $\square$

## 7. DICTIONARY $X \longleftrightarrow \mathcal{O}_X$

Here there is a list of elementary equivalences that will be used to establish equivalences of more convoluted equivalences between properties of  $C_\alpha(X, \mathcal{S}^*)$  and  $X$ . We consider only those collections  $\alpha$  that are *finitely stable*, that is,  $\mathcal{A}_0, \mathcal{A}_1 \in \alpha$  implies that  $\mathcal{A}_0 \cap \mathcal{A}_1 \in \alpha$ .

Let  $Y \subset X$ . A family  $\mathcal{B}$  of (open) subsets of  $X$  is called an  $\alpha$ -cover of  $Y$  if  $\mathcal{B} \cap \mathcal{A} \neq \emptyset$  for every  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A}$ . In particular, if  $\alpha = \{\mathcal{O}(D) : D \in X^{<\aleph_0}\}$ , then an  $\alpha$ -cover is an  $\omega$ -cover, that is, for each finite set  $D$  there is  $B \in \mathcal{B}$  such that  $D \subset B$ .

**Lemma 7.1.** *A family  $\mathcal{B}$  meshes  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if  $\mathcal{B}$  is an  $\alpha$ -cover of  $Y$ .*

*Proof.*  $\mathcal{B}$  meshes  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if  $\mathcal{B} \cap \mathcal{A} \neq \emptyset$  for each  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A}$ . This means exactly that  $\mathcal{B}$  is an  $\alpha$ -cover of  $Y$ .  $\square$

Let  $\mathcal{A}, \mathcal{B}$  be families of subsets of a given set. We say that  $\mathcal{A}$  is *coarser* than  $\mathcal{B}$  (equivalently,  $\mathcal{B}$  is *finer* than  $\mathcal{A}$ )

$$\mathcal{A} \leq \mathcal{B}$$

if for every  $A \in \mathcal{A}$  there is  $B \in \mathcal{B}$  such that  $B \subset A$ . A collection of families of subsets of  $X$  can be considered as a family of subsets of  $2^X$ . In this sense, we say that a collection is *finer* (*coarser*) than another collection. The following facts are just rewording of definitions, but we formulate them as lemmas for easy reference.

**Lemma 7.2.** *A collection  $\gamma$  is finer than  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if for each  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A}$  there is  $\mathcal{G} \in \gamma$  such that  $\mathcal{A} \subset \mathcal{G}$ .*

**Lemma 7.3.** *A collection  $\gamma$  is coarser than  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if for each  $\mathcal{G} \in \gamma$  there is  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A} \subset \mathcal{G}$ .*

In particular, a sequence  $(\mathcal{G}_n)_n$  is finer than  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if for every  $\mathcal{A} \in \alpha$  there is  $n_{\mathcal{A}} < \omega$  such that  $\mathcal{G}_n \subset \mathcal{A}$  for each  $n \geq n_{\mathcal{A}}$ .

**7.1. Tightness.** Recall that (see e.g., [15]) the  $\alpha$ -Lindelöf number of a topological space  $X$  is the least cardinal  $\tau$  such that for each  $\alpha$ -cover there exists an  $\alpha$ -subcover of cardinality less than or equal to  $\tau$ .<sup>7</sup>

By Lemma 7.1,<sup>8</sup>

**Theorem 7.4.** *The tightness of  $C_\alpha(X, \mathcal{S})$  is  $\tau$  if and only if the supremum of the  $\alpha$ -Lindelöf numbers of open subsets of  $X$  is  $\tau$ .*

Hence, by Theorem 5.3,

<sup>7</sup>More generally, if  $\kappa \leq \lambda$  are cardinals, then we say that  $X$  is  $\lambda/\kappa[\alpha]$ -compact if for every open  $\alpha$ -cover of  $X$  of cardinality  $< \lambda$  there is an  $\alpha$ -subcover of cardinality  $< \kappa$  of  $X$ . In particular, a topological space is  $[\alpha]$ -compact if it is  $\aleph_0/\aleph_0[\alpha]$ -compact for each cardinal  $\lambda$ , *countably*  $[\alpha]$ -compact if it is  $\aleph_1/\aleph_0[\alpha]$ -compact,  $[\alpha]$ -Lindelöf if it is  $\aleph_1/\aleph_1[\alpha]$ -compact for every  $\lambda$ .

<sup>8</sup>Similar characterizations can be formulated for  $\lambda/\kappa$ -tightness with  $\kappa \geq \aleph_0$ . We say that a filter  $\mathcal{F}$  is  $\lambda/\kappa$ -tight if for each  $H \in \mathcal{F}^\#$  with  $\text{card } H < \lambda$  there is  $B \subset H$  such that  $\text{card } B < \kappa$  and  $B \in \mathcal{F}^\#$ . A topological space is  $\lambda/\kappa$ -tight if its every neighborhood filter is  $\lambda/\kappa$ -tight.

**Theorem 7.5.** *If  $X$  is completely regular and  $\alpha$  is a compact web, then  $C_\alpha(X, \mathbb{R})$  is  $\tau$ -tight if and only if the  $\alpha$ -Lindelöf number of  $X$  is  $\tau$ .*

These facts specialize, in an obvious way, to *compact-open* topologies  $C_k(X, Z)$ , when  $\alpha = \{\mathcal{O}(K) : K \in \mathcal{K}\}$  where  $\mathcal{K}$  is the family of compact subsets of  $X$ , to *Isbell* topologies  $C_\kappa(X, Z)$ , when  $\alpha = \kappa(X)$  is the collection of compact families. The case of  $\alpha = \{\mathcal{O}_X(D) : D \in X^{<\aleph_0}\}$  has non-obvious interpretations. Corollary 7.5 specializes in this case with  $\tau = \aleph_0$  to

**Proposition 7.6.** *If  $X$  is completely regular, then  $C_p(X, \mathbb{R})$  is countably tight if and only if each  $\omega$ -cover of  $X$  has a countable  $\omega$ -cover of  $X$ .*

The following theorem is due to Arhangel'skii [1] and Pytkeev [17]:

**Theorem 7.7.** *If  $X$  is completely regular, then  $C_p(X, \mathbb{R})$  is countably tight if and only if  $X^n$  is Lindelöf for every  $n < \omega$ .*

**7.2. Character.** As an immediate consequence of Lemma 7.2,

**Theorem 7.8.** *The character of  $C_\alpha(X, \$)$  is  $\tau$  if and only if for every open subset  $Y$  of  $X$  there exists a subcollection  $\gamma$  of  $\alpha$  such that  $\text{card } \gamma \leq \tau$  and for each  $\mathcal{A} \in \alpha$  there is  $\mathcal{G} \in \gamma$  such that  $\mathcal{G} \subset \mathcal{A}$ .*

**Corollary 7.9.** *If  $X$  is  $T_1$ , then  $C_p(X, \$)$  is of countable character if and only if  $X$  is countable.*

*Proof.* By Theorem 7.8, the character of  $C_p(X, \$)$  is countable, if and only if for every open subset  $Y$  of  $X$  there is a sequence  $(y_n)_n \subset Y$  such that  $\{\mathcal{O}_X(\{x_1, \dots, x_n\}) : n < \omega\}$  is finer than  $\{\mathcal{O}_X(F) : F \in X^{<\aleph_0}\}$ , that is, for every finite subset  $F$  of  $Y$  there is  $n < \omega$  such that  $\{x_1, \dots, x_n\} \subset O$  implies  $F \subset O$  for each open set  $O$ . Since  $X$  is  $T_1$ , this means that  $F \subset \{x_1, \dots, x_n\}$ .  $\square$

**Corollary 7.10.** *If  $X$  is  $T_1$ , then  $C_k(X, \$)$  is of countable character if and only if  $X$  is hereditarily hemicompact.*

*Proof.* Let  $Y$  be an open subset of  $X$ . The neighborhood filter  $\mathcal{N}_{\mathcal{K}(X, \$^*)}(Y)$  is countably based if and only if there exists a sequence  $(K_n)_n$  of compact subsets of  $Y$  such that for every  $K \in \mathcal{K}_Y$  there exists  $n$  such that  $\mathcal{O}_X(K_n) \subset \mathcal{O}_X(K)$ , which, for a  $T_1$ -topology, is equivalent  $K \subset K_n$ .  $\square$

It is well-known that a (Hausdorff) topological vector space is metrizable if and only if it is of countable character. Therefore, we recover [15, p. 60]

**Corollary 7.11.** *If  $X$  is completely regular, then  $C_p(X, \mathbb{R})$  is metrizable if and only if it is of countable character if and only if  $X$  is countable.*

**Corollary 7.12.** *If  $X$  is completely regular, then  $C_k(X, \mathbb{R})$  is metrizable if and only if it is of countable character if and only if  $X$  is hemicompact.*

**7.3. Variants of Fréchetness.** Here we characterize some of the properties  $(\mathbb{H}/\mathbb{E})_{\geq}$  of hyperspaces in terms of their underlying spaces.

**Proposition 7.13.**  *$C_\alpha(X, \$)$  is  $(\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$ -based if and only if  $X$  enjoys the following property: For each open subset  $Y$  of  $X$ , for every collection  $\gamma$  of  $\alpha$ -covers of  $Y$  with  $\text{card}(\gamma) \leq \kappa$ , there exists a collection  $\zeta$  of families of open sets with  $\text{card}(\zeta) \leq \lambda$  such that for every  $\mathcal{A} \in \alpha$  with  $Y \in \mathcal{A}$ , and each  $\mathcal{G} \in \gamma$  there exists  $\mathcal{Z} \in \zeta$  such that  $\mathcal{Z} \subset \mathcal{A} \cap \mathcal{G}$ .*

As we have observed in a preliminary analysis, this property is (necessarily) hereditary for open sets.

Let  $\kappa = 0$  and  $\lambda = 1$  and  $\alpha = \{\mathcal{O}_X(D) : D \in \mathcal{D}\}$ , where  $\mathcal{D}$  is finitely additive. Proposition 7.13 specializes as follows<sup>9</sup>:

**Proposition 7.14.**  *$C_\alpha(X, \$)$  is Fréchet if and only if  $\mathcal{G}$  is a family of open sets and for each  $D \in \mathcal{D}$  with  $D \subset Y$ , there exists  $G \in \mathcal{G}$  with  $D \subset G$ , then there exists a sequence  $(G_n)_n \subset \mathcal{G}$  such that for each  $D \in \mathcal{D}$  with  $Y \subset D$ , there is  $n_D < \omega$ , for which  $D \subset G_n$  for every  $n \geq n_D$ .*

Of course, the sequence  $(G_n)_n$  fulfills the condition above if and only if it converges to  $Y$  in  $C_{\mathcal{D}}(X, \$^*)$ . In the case of  $\mathcal{D} = X^{<\aleph_0}$ , it is equivalent to  $Y \subset \underline{\text{Lim}}_n G_n := \bigcup_{n < \omega} \bigcap_{k > n} G_k$  (the set-theoretic lower limit). In particular, for  $Y = X$  the condition above is the condition  $(\gamma)$  of Gerlits and Nagy [8]: if  $\mathcal{G}$  is an  $\omega$ -cover of  $X$ , then there is a sequence  $G_n \in \mathcal{G}$  with  $\underline{\text{Lim}}_n G_n = X$ .

Let  $\kappa = \lambda = 1$  and  $\alpha = \{\mathcal{O}_X(D) : D \in \mathcal{D}\}$ , where  $\mathcal{D}$  is finitely additive.

Then Proposition 7.13 specializes as follows<sup>10</sup>:

**Proposition 7.15.**  *$C_\alpha(X, \$)$  is strongly Fréchet if and only if  $\mathcal{G}_k \supset \mathcal{G}_{k+1}$  is sequence of families of open sets and for every  $k$  and each  $D \in \mathcal{D}$  with  $D \subset Y$ , there exists  $G \in \mathcal{G}_k$  with  $D \subset G$ , then there exists a sequence  $G_n \in \mathcal{G}_n$  such that for each  $D \in \mathcal{D}$  with  $Y \subset D$ , there is  $n_D < \omega$ , for which  $D \subset G_n$  for every  $n \geq n_D$ .*

As we have seen in proposition 6.4, Fréchetness is not  $\mathbb{F}_1$ -steady. Nevertheless, it is known that a Fréchet topological group is strongly Fréchet (see [16]). Therefore

**Theorem 7.16.** *If  $\alpha$  is a compact web on a completely regular space  $X$ , then  $C_\alpha(X, \mathbb{R})$  is Fréchet if and only if it is strongly Fréchet if and only if for every  $\alpha$ -cover  $\mathcal{P}$  of  $X$  there is a sequence  $(P_n)_n \subset \mathcal{P}$  such that for each  $\mathcal{A} \in \alpha$  there is  $n_{\mathcal{A}} < \omega$  such that  $P_n \in \mathcal{A}$  for each  $n \geq n_{\mathcal{A}}$ .*

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<sup>9</sup>We use the definition in terms of sequences.

<sup>10</sup>We use the definition in terms of sequences.

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