

**ON THE ANALYTIC PICARD GROUP OF CERTAIN AFFINE  
ALGEBRAIC HYPERSURFACES.**

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**Abstract:** Let  $Y \subset \mathbf{P}_N$  be a non singular hypersurface with  $N > 3$ , let  $\Gamma \subset Y$  be a transverse hyperplane section and let  $\mathcal{A} := Y \setminus \Gamma$ . In 1966, A. Howard established the following result:

The analytic Picard group of  $\mathcal{A}$  is trivial (\*)

In 1973, Gerstner and Kaup showed that (\*) remained valid if  $Y$  has only isolated singularities, say  $\{q_k\}$  and  $\Gamma$  is merely a non singular hyperplane section, provided  $\{q_k\} \not\subset \Gamma$ . The purpose of this note is to propose to the latter result, a counterexample which is a nodal and irreducible 3-dimensional hypersurface  $\mathbf{Y} \subset \mathbf{P}_4$ . Also a geometric characterization of a Non-Kahlerian and non singular resolution  $\pi: \mathbf{m} \rightarrow \mathbf{Y}$  will be established.

**Key words:** GAGA theory, Borel-Moore homology, null-homologous rational 1-cycle

**MSC:** Primary 14 C22, 14 R05; Secondary 32 S45, 32 J25

**§ 0.- The Motivation**

Unless the contrary is explicitly stated, all 3-dimensional (resp. 2-dimensional) connected  $\mathbb{C}$ -analytic manifolds will be referred to simply as *threefolds* (resp. *surfaces*). For any  $\mathbb{C}$ -algebraic variety  $X$ , let us denote by  $\text{Pic}(X) := H^1(X, \mathcal{O}_X^*)$  the *analytic Picard group* of  $X$ , where  $X$  is the underlying  $\mathbb{C}$ -analytic space associated to  $X$ .  $\mathcal{L} \in \text{Pic}(X)$  is called *numerically positive* if  $\mathcal{L} \cdot C > 0$  for any compact irreducible curve  $C \subset X$ . Also the finite sum  $\Xi := \sum_i n_i C_i$  where  $n_i \in \mathbf{Z}^+$  and  $C_i \subset X$  are irreducible compact curves for any  $i$ , will be called a *1-cycle* and  $\Xi$  is said to be *numerically trivial* if  $\mathcal{L} \cdot \Xi = 0$  for any  $\mathcal{L} \in \text{Pic}(X)$

In 1966, A. Howard established the following result [H] (corollary 2.3)

**Proposition 0.1:** *Let  $N > 3$ , let  $Y_0 \subset \mathbf{P}_N$  be a connected and non singular hypersurface and let  $Y' \subset \mathbf{P}_N$  be a linear hyperplane intersecting  $Y_0$  transversely. Let  $\Gamma_0 := Y_0 \cap Y'$  and let  $A_0 := Y_0 \setminus \Gamma_0$ . Then  $\text{Pic}(A_0)$  is 0*

Also as noticed in [H] (p.213) the hypothesis of transversality of  $Y'$  is crucial here; in fact one has

**Example 0.2.:** Let  $Y_2 := \{x^2 + y^2 + z^2 + w^2 = 0\} \subset \mathbf{P}_4(x:y:z:w:t)$  be a quadric hypersurface with a single (isolated) singular point  $q = (0:0:0:0:1)$  and let  $\underline{A}_2 := Y_2 \cap \{x \neq 0\}$ . Then it is clear that  $\underline{A}_2 \cong \{\zeta^2 + \xi^2 + v^2 = -1\} \subset \mathbf{C}^4(\zeta, \xi, v, \tau)$  is a non singular affine algebraic hypersurface, where  $\zeta := y/x$ ,  $\xi := z/x$ ,  $v := w/x$  and  $\tau := t/x$ . Certainly  $\underline{A}_2$  is homotopically equivalent to  $\underline{A}_2 \cap \{\tau = 0\}$  which has the same homotopy type as the 2-sphere  $S^2$ ; consequently, in contrast with  $A_0$

$$\text{Pic}(\underline{A}_2) \cong H^2(\underline{A}_2, \mathbf{Z}) = \mathbf{Z}$$

By steering clear from Example 0.2, in 1973, the following generalization of Proposition 0.1 was given in [GK] (Satz 1, p.121 & Korollar 3, p.125)

**Theorem 0.3:** *Let  $N > 3$ , and let  $Y \subset \mathbf{P}_N$  be an irreducible hypersurface with only isolated singularities, say  $\{q_k\}$ . Now, let  $\Gamma \subset Y$  be a non singular hypersurface section such that  $\{q_k\} \notin \Gamma$  and let  $\mathcal{A} := Y \setminus \Gamma$ . Then*

- (a)  $\pi(\mathcal{A})$ , the fundamental group of  $\mathcal{A}$ , is finite cyclic, and
- (b)  $\text{Pic}(\mathcal{A}) = 0$ , provided  $\Gamma$  is a non singular hyperplane section

One of the main purposes of this note is to provide a simple *counterexample* to Theorem 0.3 (b) (see Counterexample 1.3 below); consequently its fundamental group, in view of Theorem 0.3(a), is *non trivial* cyclic. It turns out that such a construction also provides a road map for a geometric characterization of certain Moishezon 3-folds  $\mathbf{M}$  (resp. *compactifiable* 1-convex 3-folds  $\mathbf{X} \subset \mathbf{M}$ ) which are Non-Kahlerian. That will be the goal of the last section. First of all let us introduce the following

**Definition 0.4:** [V1] A C-analytic manifold  $X$  is said to be *strongly pseudoconvex* (or *1-convex* for short) if  $X$  is a non singular resolution  $\pi: X \rightarrow Y$  of some *Stein* space  $Y$  which has only finitely many isolated singular points  $\{q_k\}$ . Henceforth,  $S := \cup_k \pi^{-1}(q_k)$  will be referred to as the *exceptional set* of  $X$ . Furthermore,  $X$  is said to be *compactifiable* if there exist a compact C-analytic manifold  $M$  and a C-analytic subvariety  $\Gamma \subset M$  such that  $X \cong M \setminus \Gamma$

## § 1.- The Small Resolution

We are now in a position to proceed to the construction of the following

**Example 1.1:** For any fixed integer  $d \in \mathbf{Z}$  with  $d \geq 3$ , let  $Y_d$  be an irreducible hypersurface of degree  $d$  in  $\mathbf{P}_4$  with only one isolated singular point  $\{p\}$  which is an ordinary double point. Let  $\Gamma_d \subset Y_d$  be a non singular hyperplane section such that  $\{p\} \notin \Gamma_d$ . Since  $\{p\}$  is an ordinary singularity, a result in [Kz] tells us that  $Y_d$  admits an *irreducible small* resolution  $\pi: M_d \rightarrow Y_d$ , where  $M_d$  is a compact 3-fold with  $\mathbf{Exc}(\pi) :=$  the *exceptional locus* of  $\pi \cong \mathbf{P}_1$ . Now, Lefschetz hyperplane section theorem and Poincaré duality tell us that

$$H^1(\Gamma_d, \mathbf{Z}) \cong H_3(\Gamma_d, \mathbf{Z}) = 0$$

Certainly,  $A_d := Y_d \setminus \Gamma_d$ , is Stein; hence, in view of Definition 0.4,

$$X_d := M_d \setminus \Theta_d \text{ is a compactifiable 1-convex 3-fold with exceptional set } \varepsilon \cong \mathbf{Exc}(\pi) = \mathbf{P}_1. \quad (\wedge)$$

where  $\Theta_d := \pi^{-1}(\Gamma_d)$ . Since,  $d \geq 3$ ,  $\{p\}$  is *factorial* [Ch]: consequently  $H^2(M_d, \mathbf{Z}) \cong H_4(M_d, \mathbf{Z}) \cong \mathbf{Z}$ . Thus, from the following exact sequence

$\mathbf{Z} \cong H_4(\Theta_d, \mathbf{Z}) \xrightarrow{\iota_*} H_4(M_d, \mathbf{Z}) \cong \mathbf{Z} \rightarrow H_4(M_d, \Theta_d; \mathbf{Z}) \rightarrow H_3(\Theta_d, \mathbf{Z}) = 0$   
we infer that

$$H^2(X_d, \mathbf{Z}) \cong H_4(M_d; \Theta_d, \mathbf{Z}) \text{ is a finite group for any } d \geq 3 \quad (\#)$$

**Claim :**  $X_d$  is non Kählerian (\\$)

Assume the contrary and assume that  $\Omega$  is a Kähler (1,1) form on  $X_d$  upon which one has the following exact sequence

$$0 \rightarrow \mathbf{R} \xrightarrow{\iota} \mathcal{O} \xrightarrow{f} \mathcal{P} \rightarrow 0$$

$$f \mapsto \text{Re } f$$

where  $\mathcal{O}$  is the analytic structure sheaf of  $X_d$ ,  $\mathcal{P}$  is the sheaf of germs of pluriharmonic functions, see e.g. [HL] and the map  $\iota$  is defined by multiplication by  $\sqrt{-1}$ . Hence one obtains the following exact sequence

$$H^1(X_d, \mathcal{O}) \rightarrow H^1(X_d, \mathcal{P}) \rightarrow H^2(X_d, \mathbf{R}) \quad (1)$$

Let us consider the following restriction morphism

$$\lambda := \pi|_{X_d} : X_d \rightarrow A_d \quad (\sim)$$

Since  $\{p\}$  is a rational singularity, we infer from Leray spectral sequence that the left hand side group in (1) vanishes; and so does its right hand side group, in view of (#). Hence it follows readily that

$$H^1(X_d, \mathcal{P}) = 0 \quad (2)$$

On the other hand from the following exact sequence

$$0 \rightarrow \mathcal{P} \rightarrow \mathcal{E} \rightarrow \mathcal{K} \rightarrow 0 \quad (\diamond)$$

$$\phi \mapsto \iota \partial \bar{\partial} \phi$$

where  $\mathcal{E}$  (resp  $\mathcal{K}$ ) is the sheaf of germs of real valued differentiable functions (resp of real valued differentiable closed (1,1) forms)[HL] one gets, in view of (2), the following exact sequence

$$\Gamma(X_d, \mathcal{E}) \rightarrow \Gamma(X_d, \mathcal{K}) \rightarrow H^1(X_d, \mathcal{P}) = 0 \quad (3)$$

Consequently (3) gives rise to some global differentiable function  $\phi$  on  $X_d$  such that

$$i \partial \bar{\partial} \phi = \Omega \text{ is positive definite}$$

i.e.  $\phi$  is *strongly plurisubharmonic*. Thus, it follows readily from the maximum principle for plurisubharmonic functions that  $X_d$  is free of compact subvarieties of positive dimension; this contradicts (^) and our claim is proved.

**Remark1.2:** It follows readily from (\$) that  $M_d$  is not projective algebraic. Another proof of this fact can be found in [M1] (p.174) (see also [C]) where it is shown that  $\epsilon$  is a *null homologous* 1-cycle in  $M_d$

**Counterexample 1.3** For any fixed integer  $d \geq 3$ , the hypersurface  $Y_d \subset \mathbf{P}_4(x:y:z:w:t)$  considered in Example 1.1 can be explicitly described by the homogeneous equation, see e.g. [W1][CC],

$$Q_2(x, y, z, w) t^{d-2} + H_d(x, y, z, w) = 0 \quad (\clubsuit)$$

where  $Q_2(\mathbf{x})$  (resp  $H_d(\mathbf{x})$ ) is a non degenerate quadric (resp.a homogeneous equation of degree  $d$ ) in  $\mathbf{P}_3(\mathbf{x})$ , where  $\mathbf{x} := (x,y,z,w)$ . Now let

$$p := (0:0:0:0:1) \in \mathbf{P}_4(\mathbf{x}:t) \text{ and } Y' := \{t = 0\} \cong \mathbf{P}_3(\mathbf{x})$$

Certainly

$$\{p\} \notin Y' \text{ and } \Gamma_d := Y_d \cap Y' \text{ is non singular by Bertini theorem} \quad (4)$$

*Step1:* Let  $\mathfrak{m}$  be the maximal ideal sheaf of  $\{p\}$ . Then a main result in [HR](Theorem1) tells us that there exists an  $\mathfrak{m}$ -primary ideal sheaf  $\mathcal{J}(\sqrt{\mathcal{J}} = \mathfrak{m})$  such that  $\pi: M_d \rightarrow Y_d$  is dominated by the monoidal transformation  $\Pi: \mathcal{B} \rightarrow Y_d$  with center  $\mathcal{J}$  i. e. there exists a modification morphism  $\tau: \mathcal{B} \rightarrow M_d$  such that  $\pi \circ \tau = \Pi$ . Since  $\{p\}$  is an ordinary singular point,  $\mathcal{Q} = \text{Exc}(\Pi) \cong \mathbf{P}_1 \times \mathbf{P}_1$ . In fact since  $\{p\}$  is the only singular point in  $Y_d$ , one can assume that

$$B := \{Q_2 = H_d = 0\} \subset \mathbf{P}_3(x) \text{ is a non singular curve.} \quad (\heartsuit)$$

Notice that  $C := \{(x,t) \in Y_d \mid x \in B\}$  is the cone of all lines  $l \in Y_d$  passing through  $\{p\}$ ; hence we infer readily from  $(\clubsuit)$ ,  $(\heartsuit)$  and (4) that

$$l \cdot_M \Theta_d = l \cdot_Y \Gamma_d = m \geq 1 \quad (5)$$

where  $l$  is the strict transform of  $l$  by  $\pi$ . This situation can be summarized by the following diagram

$$\begin{array}{ccc} & \mathcal{R}_2 & \\ & \cap & \\ \mathbf{P}_1 \times \mathbf{P}_1 \cong \mathcal{Q} \subset & \mathcal{B} & \\ & \tau \downarrow & \Pi \\ \mathbf{P}_1 \cong \varepsilon \subset M_d & \xrightarrow{\pi} & Y_d \subset \mathbf{P}_4, \\ & \cup & \cup \\ B_1 \subset \mathcal{R}_1 & & p \in C \supset B \subset \mathbf{P}_3 \end{array}$$

where  $\mathcal{R}_1 := \pi^*(C)$  is the compact surface (*singular but irreducible*) which is the union of lines  $l$  joining  $\varepsilon$  to  $B_1 := \pi^*(B)$ , and  $\mathcal{R}_2$  is a ruled surface; Notice that one has  $\Pi^{-1}(C) \cong \mathcal{Q} \cup \mathcal{R}_2$ ,  $\tau(\mathcal{Q}) \cong \varepsilon$  and  $\mathcal{R}_1 \cong \tau(\mathcal{R}_2)$

*Step2::* a) If  $d = 3$ , it follows readily from  $(\clubsuit)$  and (5) that  $m = 1$ ; consequently  $(\#)$  tells us that  $H^2(X_3, \mathbf{Z}) = 0$

b) Yet this simple case shows us how to concoct a situation in which the latter group is non trivial, when  $d = 2N + 1$  for any positive integer  $N$ .

Indeed, instead of the defining equation  $(\clubsuit)$ , let us consider, the nodal hypersurface  $\check{Y}_{2N+1}$ , defined by

$$t^{2N+1} Q_2(x, y, z, w) + t Q_2^N(x, y, z, w) + H_{2N+1}(x, y, z, w) = 0 \quad (\clubsuit\clubsuit)$$

where  $Q_2(x, y, z, w)$  (resp.  $H_{2N+1}(x, y, z, w)$ ) is a non degenerate quadric (resp. a generic homogeneous polynomial of degree  $2N + 1$ ) in  $\mathbf{P}_3(x:y:z:w)$ . Then in view of [CC] (section II, p.288)  $\check{Y}_{2N+1}$  carries the same cone of lines, which in view of  $(\clubsuit\clubsuit)$ , meets the hyperplane section  $\Gamma_{2N+1}$  along the *non reduced N-uple* curve

$$\check{C} := \{Q_2^N = H_{2N+1} = 0\} \subset \mathbf{P}_3(x) \quad (\heartsuit\heartsuit)$$

From  $(\heartsuit\heartsuit)$  and (5) one can check that  $m = N$ . We infer from  $(\#)$  that

$$H^2(X_{2N+1}, \mathbf{Z}) = \mathbf{Z}/N\mathbf{Z} \quad (\%)$$

Notice that, although  $\Gamma_{2N+1}$  is non singular, it is not in general a transverse hyperplane section

*Step3:* From the morphism  $(\sim)$ , let us consider the following commutative diagram with exact rows

$$\begin{array}{ccccccc} H^1(\varepsilon, \mathbf{Z}) & \rightarrow & H^2(X_d, \varepsilon; \mathbf{Z}) & \rightarrow & H^2(X_d, \mathbf{Z}) & \rightarrow & H^2(\varepsilon, \mathbf{Z}) \\ & & \uparrow \lambda_1 & & \uparrow \lambda_2 & & \uparrow \lambda_3 & & \uparrow \lambda_4 & & (\spadesuit) \\ 0 \cong H^1(\{p\}, \mathbf{Z}) & \rightarrow & H^2(A_d, \{p\}; \mathbf{Z}) & \rightarrow & H^2(A_d, \mathbf{Z}) & \rightarrow & H^2(\{p\}, \mathbf{Z}) & \cong & 0 \end{array}$$

By construction  $X_d \setminus \varepsilon \cong A_d \setminus \{p\}$ , it follows readily that  $\lambda_2$  is an isomorphism. Therefore, from the above diagram one obtains the following exact sequence

$$0 \cong H^1(\varepsilon, \mathbf{Z}) \rightarrow H^2(A_d, \mathbf{Z}) \rightarrow H^2(X_d, \mathbf{Z}) \xrightarrow{\rho^*} H^2(\varepsilon, \mathbf{Z}) \cong \mathbf{Z}$$

⋮

In view of (§),  $X_d$  is Non Kählerian; hence we infer from a main result in [V1] (Theorem III) that the restriction application  $\rho^*$  is the zero map. Hence our desired construction is complete, provided one takes (♣) into account.

## §.2. The Borel- Moore Homology

The major shortcoming in the proof of Theorem 0.3(b) stems from the *erroneous* assertion that the affine algebraic hypersurface  $\mathcal{A}$  is *simply connected* which we shall discuss next. But first of all let us introduce the following:

**Definition 2.1:** ([K1] p.3) Let  $Y, \mathcal{A}$  and  $\Gamma$  be as in Theorem 0.3.. Then, for any  $k \geq 0$ , let

$$\mathcal{H}_k(\mathcal{A}) := H_k(Y, \Gamma; \mathbf{Z})$$

where  $H_k(Y, \Gamma; \mathbf{Z})$  stands for the *relative singular homology* of the pair  $(Y, \Gamma)$

$\mathcal{H}_k(\mathcal{A})$  is the so called *k<sup>th</sup> Borel-Moore integral homology* of  $\mathcal{A}$ .

In [GK] (Korollar 3, p.124), a strategy to prove Theorem 0.3 (b) followed exactly the same pattern as the one in [H]. In particular, it was established, by using the universal coefficient theorem

$$H^2(\mathcal{A}; \mathbf{Z}) \cong \text{Hom}(H_2(\mathcal{A}, \mathbf{Z}); \mathbf{Z}) \oplus \text{Tor } H_1(\mathcal{A}, \mathbf{Z})$$

and, by quoting (as explicitly mentioned in Korollar 2, p123 in [GK]) the fact that

$$\mathcal{H}_1(\mathcal{A}) = 0$$

a proof of which was given in [K2] (Korollar 2.8 (ii)); however, in general

$$\mathcal{H}_1(\mathcal{A}) \neq H_1(\mathcal{A}, \mathbf{Z})$$

namely the Borel-Moore homology is not necessarily the same as the singular one..

In fact, this is true if  $Y$  is non singular. Indeed, if  $Y$  is non singular, we have

$$\mathcal{H}_1(\mathcal{A}) := H_1(Y, \Gamma; \mathbf{Z}) \cong H^{2N-3}(Y, \Gamma; \mathbf{Z}) \cong H_1(\mathcal{A}, \mathbf{Z})$$

in view of the Poincaré (resp. Alexander-Lefschetz) duality., since  $\mathbf{R}$ -dimension  $Y = 2N - 2$ . On the other hand, when the ambient space  $Y$  is singular, this is not always the case;

**Remark 2.2:** Counterexample 1.3 is, in some extent rare, as shown by the following result which was kindly communicated to us by Prof. P. Deligne.

**Theorem 2.3:** Let  $Y_d \subset \mathbf{P}_4(x:y:z:w:t)$  be the nodal hypersurface defined by equation (♣) in section 1.3 above. For generic  $H_d(x, y, z, w)$ , let  $\Gamma_d \subset Y_d$  be a transverse hyperplane section and let  $A_d := Y_d \setminus \Gamma_d$ . Then

$$\text{Pic}(A_d) \cong H^2(A_d, \mathbf{Z}) = 0 \quad \text{for any } d.$$

**Proof:** Since  $H_d(x, y, z, w)$  is generic, one gets, by perturbation a differentiable 3-fold  $\mathbf{M}$  such that

$$\mathbf{M} \approx \mathbf{Y} \tag{6}$$

where  $Y$  is some non singular hypersurface of degree  $d$  in  $\mathbf{P}_4$  and  $\approx$  stands for diffeomorphism, and that,  $M$  carries a vanishing cycle  $\delta \cong S^3$  the 3-sphere, which can be contracted topologically to  $\{p\}$ . Precisely there exists a topological morphism  $f: M \rightarrow Y_d$  such that

$$M \setminus S^3 \approx Y_d \setminus \{p\}. \quad (!)$$

Now, let  $g: M \setminus f^{-1}(\Gamma_d) \rightarrow A_d$  be the restriction topological modification morphism. We infer from (!) that

$$A \setminus S^3 \approx A_d \setminus \{p\} \quad (!!)$$

Consequently, by taking (!! ) into account, similar commutative diagram as the one of ( $\spadesuit$ ), in section 1.3, applies to the morphism  $g$  in this situation and one gets the following exact sequence

$$0 \cong H^1(\delta, \mathbf{Z}) \rightarrow H^2(A_d, \mathbf{Z}) \xrightarrow{g^*} H^2(A, \mathbf{Z}) \quad (7)$$

In view of a result in [H](corollary 2.3) and (6) it follows readily that  $H^2(A, \mathbf{Z}) = 0$ ; hence our conclusion will follow from (7). **Q.E.D.**

### §3. The Threefold Paradigm.

Notice that the previous examples only occurred in dimension 3 and this is by no means accidental as shown by the following

**Proposition 3.1:** *Let  $Y \subset \mathbf{P}_V$  be a (strict) complete intersection with only isolated singularities, say  $\{p_i\}$  and let  $\pi: M \rightarrow Y$  be a non singular resolution. Assume that*

- (1)  *$\text{Exc}(\pi)$  is of pure dimension one and*
- (2)  *$\dim Y > 3$ .*

*Then such a resolution does not exist*

**Proof:** Assume the contrary that such a resolution does exist.

Let  $\cup_i C_i$  be an irreducible decomposition of  $\text{Exc}(\pi)$ , and let  $\mathcal{K}$  be the canonical bundle of  $M$ . Let  $\Gamma \subset Y$  be a non singular hyperplane section such that  $\{p_i\} \notin \Gamma$  and let  $X := M \setminus \Theta$  where  $\Theta := \pi^{-1}(\Gamma)$ . Since  $X$  is 1-convex with exceptional set  $S \cong \text{Exc}(\pi)$  and since with  $C\text{-dim } X \geq 4$  and  $\dim S = 1$ , it follows readily that

- (a)  $\mathcal{K}|_S$  is ample (Theorem 1.5 in [V2]) and
- (b) for any irreducible and positive dimensional compact subvariety  $Z \subset M$  such that  $Z \not\subset C_i$ , for any  $i$ , necessarily  $Z \cap \Theta \neq \emptyset$ . Hence we infer from Moishezon-Nakai criterion that for some  $N \gg 0$ ,  $\mathcal{K} \otimes L^N$  is ample where  $L$  is the line bundle on  $M$  determined by  $\Theta$ .

Consequently,  $M$  is projective algebraic. Therefore GAGA type theorem implies that  $\pi$  is actually a projective morphism. By virtue of our hypothesis (2),  $\{p_i\}$  are algebraically factorial [G] (XI 3.1.4); hence van der Waerden theorem tells us that  $S$  is of pure codimension one. Contradiction ! **Q.E.D.**

In sharp contrast to this situation, its 3-fold analogue is completely characterized by the following

**Theorem 3.2:** *Let  $\pi: M \rightarrow Y$  and  $X$  be as in Proposition 3.1 with  $C\text{-dim } Y = 3$ . Assume that*

$$S := \text{Exc}(\pi) \text{ is of pure dimension one} \quad (\dagger)$$

*Then the following conditions are equivalent:*

- ( $\alpha$ )  *$M$  is non-Kählerian*
- ( $\beta$ )  *$M$  carries a null homologous 1-cycle  $\Xi$*

( $\gamma$ )  $X$  carries a null homologous 1-cycle  $\Lambda \cong \Xi$

**Proof:** ( $\alpha$ )  $\implies$  ( $\beta$ )

*Step 1:* We shall follow here closely an idea in [HL]. Let us consider the following homomorphism of Frechet spaces :

$$d: \mathcal{E}^{1,1}(M) \rightarrow \mathcal{E}^{2,1}(M) \oplus \mathcal{E}^{1,2}(M) \quad (8)$$

where for any non negative pair of integers  $p$  and  $q$ ,  $\mathcal{E}^{p,q}(M)$  denotes the Frechet space of global, real-valued, smooth  $(p,q)$  forms on  $M$  and let  $\mathcal{D}^{p,q}(M)$ , be its dual space,. Notice that (8) induces a dual homomorphism

$$\partial_* + \partial^*: \mathcal{D}^{1,2}(M) \oplus \mathcal{D}^{2,1}(M) \rightarrow \mathcal{D}^{1,1}(M) \quad (9)$$

Now let

$$\begin{aligned} \mathcal{P} &:= \{ \phi \in \mathcal{E}^{1,1}(M) \mid \phi > 0 \} \quad \text{and} \\ \mathcal{K} &:= \{ \phi \in \mathcal{E}^{1,1}(M) \mid d\phi = 0 \} \end{aligned} \quad (\diamond \diamond)$$

By hypothesis  $\mathcal{P} \cap \mathcal{K} = \emptyset$ . Following Hahn- Banach Theorem, one can find a continuous linear form say  $T$ , on  $\mathcal{E}^{1,1}(M)$  separating  $\mathcal{P}$  from  $\mathcal{K}$ , i.e. a *current*  $T \in \mathcal{D}^{1,1}(M)$  such that

- (a)  $T(\phi) > 0$  for any  $\phi \in \mathcal{P}$  i.e.  $T$  is a *positive* current and
- (b)  $T(\phi) = 0$  for every  $\phi \in \mathcal{K}$  i.e.  $\iota \partial \bar{\partial} T = 0$

Since  $M \setminus S \cong Y \setminus (\cup_k p_k)$  is quasi projective, (a) implies that  $\text{Supp}(T) \subset S$ . In view of (b), a result in [HL](Lemma 32) tells us that  $T = \sum_i \eta_i C_i$  where  $C_i$  are irreducible components of  $S$  and  $\eta_i \in \mathbf{R}^+$ .

*Step 2:* On the other hand from the Leray spectral sequence associated to the morphism  $\pi$ , one obtains the following exact sequence

$$H^2(Y, \mathcal{O}_Y) \rightarrow H^2(M, \mathcal{O}_M) \rightarrow \Gamma(Y, \mathcal{R}^2(\pi_* (\mathcal{O}_M))) \quad (10)$$

Notice that in (10) the first homology group vanishes in view of [S] (Prop.5(b), p.273); so does the third one, in view of the hypothesis ( $\dagger$ ). Consequently  $H^2(M, \mathcal{O}_M) = 0$  and an extension of the exact sequence (1) will give rise to the following one

$$H^1(M, \mathcal{P}) \xrightarrow{\rho} H^2(M, \mathbf{R}) \rightarrow H^2(M, \mathcal{O}_M) \cong 0$$

On the other hand, from the exact sequence ( $\diamond$ ) in section 1 and the definition ( $\diamond \diamond$ ) above, one has  $H^1(M, \mathcal{P}) \cong \mathcal{K} / \iota \partial \bar{\partial} \mathcal{E}^{0,0}(M)$ ; therefore, one deduces from (b) and the surjectivity of  $\rho$ , that  $T(\phi) = 0$  for any  $\phi \in H^2(M, \mathbf{R})$ . Hence, by duality,  $T$  is a null-homologous 1-cycle in  $H_2(M, \mathbf{R}) := H_2(M, \mathbf{Z}) \otimes \mathbf{R}$  which is finitely generated. Thus, there exist integers  $n_i \in \mathbf{Z}^+$  such that  $\Xi := \sum_i n_i C_i$  is the desired null homologous 1-cycle

( $\beta$ )  $\implies$  ( $\gamma$ )

In view of Lefschetz hyperplane section theorem,  $H_3(\Gamma, \mathbf{R}) = 0$ . Consequently, one obtains the following natural map of vector spaces

$$H_4(M, \mathbf{R}) \rightarrow H_4(M, \Gamma; \mathbf{R}) \rightarrow H_3(\Gamma, \mathbf{R}) = 0 \quad (11)$$

By duality

$$H^2(M, \mathbf{R}) \cong H_4(M; \mathbf{R}) \quad \text{and} \quad H^2(X, \mathbf{R}) \cong H_4(M, \Gamma; \mathbf{R}) \quad (12)$$

From (12) and the dual of finite dimensional vector spaces, the surjectivity of (11) is equivalent to the injectivity of

$$H_2(X, \mathbf{R}) \rightarrow H_2(M; \mathbf{R})$$

Consequently  $\Xi$  is homologous to zero in  $H_2(X, \mathbf{R})$

$(\gamma) \implies (\alpha)$  is trivial

**Q.E.D.**

However, when  $\dim \mathbf{Exc}(\pi) = 2$ , the situation is quite delicate, since  $\text{Pic}(M)$  (resp.  $\text{Pic}(X)$ ) would be, in general no longer isomorphic to  $H^2(M, \mathbf{R})$  (resp.  $H^2(X, \mathbf{R})$ ) unless  $\{p_i\}$  are rational singularities. However, we have the following result

**Proposition 3.3:** *Let  $\pi: M \rightarrow Y$  be as in Theorem 3.2.*

*Assume that, for any  $r \neq s$ ,*

$$E_r \cap E_s = \emptyset \quad (\dagger\dagger)$$

*where  $E_r \in \mathbf{S} := \mathbf{Exc}(\pi)$  with  $1 \leq r, s \leq p$ , are irreducible 2-dimensional components*

*Then  $M$  is Kahlerian iff  $M$  is free of numerically trivial 1-cycles*

**Proof:** *Step 1:* Assume that  $M$  is non-Kahlerian and that  $\dim \mathbf{Exc}(\pi) = 2$ . (such a non Kahlerian 3-fold does exist, see Examples below). Certainly  $M \setminus \mathbf{Exc}(\pi) \cong Y \setminus (\cup_k p_k)$  is quasi projective. Hence a main result in [M2] (see also [Hi]) tells us that there exist an ideal sheaf  $\mathcal{J} \subset \mathcal{O}_M$  and a monoidal transformation  $\mu: W \rightarrow M$  with center  $\mathcal{J}$  such that

- (a) support of  $\mathcal{J} =: C = \cup_j C_j$ , where each  $C_j$  is a compact non singular curve  $\subset S$  with  $1 \leq j \leq q$
- (b)  $W$  is projective algebraic

Consequently, in view of (b),

$$M \setminus C \cong W \setminus \mu^{-1}(C) \text{ is quasi-projective.} \quad (13)$$

*Step 2:* In view of (b), let  $H \in \text{Pic}(W)$  be a very ample divisor and let  $\mu_*(H) =: \mathbb{H} \in \text{Pic}(M)$ . Now let  $\mathcal{L}_r \in \text{Pic}(M)$  be determined by  $E_r$ . Then we have the following alternatives:

- (i) Assume that there exists a component  $C' \subset C$  such that for some  $r$ ,  $C' \subset E_r$  and that  $\mathcal{L}_r \cdot C' = 0$ . Then certainly  $C'$  is a null homologous 1-cycle in view of  $(\dagger\dagger)$  and the fact that  $\text{Pic}(Y) = \mathbf{Z}$ .
  - (ii) Assume that there exists an  $\mathcal{L} \in \text{Pic}(M)$  such that  $\mathcal{L} \cdot C_j > 0$  (resp.  $\mathcal{L} \cdot C_j < 0$ ) for any  $j$ . Then, in view of (13), for some integer  $N \gg 0$ ,  $\mathcal{E} := \mathcal{L} \otimes \mathbb{H}^N$  (resp.  $\mathcal{E}' := \mathcal{L}^* \otimes \mathbb{H}^N$ ) is numerically positive. Therefore, we infer from a result in [Ko] (Corollary 5.1.5) that  $M$  is projective algebraic; contradiction to the hypothesis that  $M$  is Non-Kahlerian
  - (iii) Consequently it remains the mixed case, and we can consider only the components  $E_v$  with  $v \in \{1, \dots, p\}$  such that each such  $E_v$  contains or meets at least two of the  $C_j$
- In view of (i) and (ii), one can find positive integers  $v_m$  such that  $\mathcal{L}_v \cdot \mathbf{Z}_v = 0$  where  $\mathbf{Z}_v := \sum_m v_m C_m$ . Since there are only finitely many such  $E_v$ , from the fact that  $\text{rank Pic}(Y) = 1$  and the hypothesis  $(\dagger\dagger)$ , it follows that  $\mathcal{E} := \sum_v \mathbf{Z}_v$  is the desired numerically trivial 1-cycle **Q.E.D.**

In order to illustrate the above results, we would like to exhibit the following concrete examples which mirror certain peculiar aspects of Moishezon 3-folds.

**Example 3.4:** For any fixed integer  $d \geq 3$ , let  $\pi: M_d \rightarrow Y_d$  be an irreducible small resolution as in Example 1.1. Let  $\lambda: M_0 \rightarrow M_d$  be the blow up of  $M_d$  along  $\varepsilon \cong \mathbf{P}_1$ . Then one can check that  $M_0$  is projective algebraic with  $\mathbf{Exc}(\lambda) \cong \mathbf{P}_1 \times \mathbf{P}_1$ . Notice that  $\text{rank Pic}(M_0) = 2$

On the other hand let  $\kappa: M_1 \rightarrow M_d$  be the blow up of  $M_d$  along  $\cup_j a_j \in \varepsilon$  where  $\{a_j\}$ , with  $1 \leq j \leq k$ , are  $k$  distinct points. Let  $\sigma$  (resp.  $E_j \cong \mathbf{P}_2$ ) be the strict transform of  $\varepsilon$  (resp.  $a_j$ ) by  $\kappa$ . Then one can check that  $\Lambda := \sigma + \sum_j C_j$  is a null-homologous 1-cycle, where  $\mathbf{P}_1 \cong C_j \subset E_j$ . Notice that  $E_i \cap E_j = \emptyset$  and  $\text{rank Pic}(M_1) = k + 1$



**Example 3.5:** Let  $\hat{Y} := H_1 \cap H_2$ , where  $H_i$ , ( $i = 1$  or  $2$ ) are quadric hypersurfaces in  $P_5$ , such that  $\hat{Y}$  contains exactly 1 singular point  $\{p\}$  [F] which is locally defined by  $\{x^2 + y^2 + z^2 + w^4 = 0\}$  in  $C^4(x, y, z, w)$ . Then one can check that  $\hat{Y}$  admits a non singular resolution  $\pi: M_2 \rightarrow \hat{Y}$  such that

- (a)  $\mathcal{R} := \text{Exc}(\pi) \cong F_2$ , a Hirzebruch ruled surface
- (b)  $\mathcal{R}$  carries a rational 1-cycle  $\Xi := \zeta + \xi$  which is null homologous in  $M_2$ , where  $\zeta \cong P_1$  and  $\xi \cong P_1$  are 2 disjoint sections in  $F_2$ , such that  $\xi^2 = -\zeta^2 = 2$ , and
- (c)  $\text{rank Pic}(M_2) = 2$

**Example 3.6:** Let  $\check{Y} := H_1 \cap H_2$ , where  $H_i$ , ( $i = 1$  or  $2$ ) are quadric hypersurfaces in  $P_5$ , such that  $\check{Y}$  contains exactly 1 singular point  $\{q\}$  [W2](Beispiel 1, p.25) which is locally defined by  $\{x^2 + y^2 + z^2 + w^6 = 0\}$  in  $C^4$  but which is *not* factorial. Then one can check that  $\check{Y}$  admits a non singular *projective* resolution  $\tau: M_3 \rightarrow \check{Y}$  such that  $\text{Exc}(\tau) \cong P_1$  and  $\text{rank Pic}(M_3) = 2$

**Example 3.7:** In [Ko] (Example 4.3.1) was exhibited a normal 3 dimensional Moishezon space  $\mathbf{M}$  with only isolated rational singularities  $\{q_i\}$ . Furthermore,  $\mathbf{M}$  contains 2 compact non singular curves, say,  $C$  and  $D$  such that

- (i)  $C$  is rational,  $D$  is of arbitrary genus and  $C \cap D = \emptyset$
- (ii) The 1-cycle  $C + D$  is numerically trivial, and
- (iii)  $\cup_i q_i \subset C$

Now let  $\pi: M_4 \rightarrow \mathbf{M}$  be the blow-up of  $\mathbf{M}$  with center  $\cup_i q_i$ , let  $C$  (resp.  $D$ ) be the strict transform of  $C$  (resp.  $D$ ) by  $\pi$  and let  $E_i := \pi^{-1}\{q_i\} \cong P_2$ . Notice that  $E_i \cap E_j = \emptyset$ . Then certainly

- (a)  $M_4$  is a Moishezon 3-fold
- (b)  $M_4$  carries a null-homologous 1-cycle  $\Lambda := C + \sum_i m_i C_i + D$  where  $m_i :=$  multiplicity of  $q_i$ , and  $P_1 \cong C_i \subset E_i$

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